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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/612,310

07/02/2003

Louis Robert Litwin

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10/05/2006

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EXAMINER

EJAZ, NAHEED

ART UNIT

PAPER NUMBER

2611

DATE MAILED: 10/05/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

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<b>Office Action Summary</b>	<b>Application No.</b> 10/612,310	<b>Applicant(s)</b> LITWIN ET AL.	
	<b>Examiner</b> Naheed Ejaz	<b>Art Unit</b> 2611	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 10 July 2006.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
  - 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
    - a) ☐ All    b) ☐ Some \* c) ☐ None of:
      - 1. ☐ Certified copies of the priority documents have been received.
      - 2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
      - 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## **DETAILED ACTION**

### ***Response to Arguments***

1. Applicant's arguments (07/10/2006) with respect to claims 1-20 have been considered but are moot in view of the new ground(s) of rejection.

### ***Response to Amendment***

#### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-8 & 10-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wang et al. (US 6,266,365) in view of Sawahashi et al. (5,774,494) (hereinafter, Wang and Sawahashi respectively), and further in view of Lewis (US 2003/0231705).
4. Refer to claim 1, Wang discloses, two set of correlators (figure 2, elements 10-14 & 16-20, col.4, lines 43-46) the first set is considered to be equivalent to the claimed first and second correlators and the second set is the claimed third and fourth correlators. He also teaches that both sets of correlators has six outputs, I and Q for each M, E & L (see col.4, lines 44-53) which is considered to be equivalent to applicant's limitations of producing first and second real and imaginary correlated signals. Furthermore, it should be noted that Wang discloses that each set of correlator for calculating the correlation of the received

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signal having associated delay spread (claimed 1<sup>st</sup> and 2<sup>nd</sup> characteristics) (see col.5, lines 14-26).

Wang does not disclose logic that combines a frequency adjustment to the real and imaginary correlated signals.

In the same field of endeavor, Sawahashi teaches a frequency correction device which includes correlation detectors 17, 18 & 49 (figure 2). He discloses signals S3I and S3Q (claimed 'first real correlated signal and first imaginary correlated signal') from the correlation detector 17 that are supplied to a frequency drift correction portion 25 (figure 2) where S3I and S3Q get to be multiplied by frequency correction signals S4I and S4Q (claimed 'logic that combines a frequency adjustment signals that is derived from the first real correlated signal, a frequency adjustment signal that is derived from the first imaginary correlated signal') (col.5, lines 8-21). Furthermore, he discloses correlation detectors 48 and 49 (claimed 'third and fourth correlators') since these correlators are producing real and imaginary signals (S7I, S8I, S7Q & S8Q) which have the characteristics which are different than the signals S3I & S3Q with respect to frequency error (figure 3, col.5, lines 56-60, col.6, lines 26-29).

It would have been obvious to one of ordinary skill in the art, at the time of invention, to implement the teachings of Sawahashi into Wang in order to provide the frequency error correction by using the correlation detectors in a receiver as taught by (col.2, lines 60-63) and hence provide reliable system.

Although Wang and Sawahashi both disclose correlators with different characteristics with respect to the delay spread and frequency error as

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mentioned above but they fail to disclose first and second characteristics explicitly.

Lewis teaches Golay correlators for correlating Primary and Secondary code words (claimed 1<sup>st</sup> and 2<sup>nd</sup> characteristics) based upon their sequences (page # 2, paragraph # 0015, lines 15-35 & page # 4, paragraph # 0024).

It would have been obvious to one of ordinary skill in the art, at the time of invention, to implement the Primary and Secondary SCH channel characteristics or code words of Lewis into Wang and Sawahashi correlation circuits in order to differentiate between primary and secondary SCH channels delay associated with received signal and hence reduce the amount of data shifting through delay structures of the filter or Golay correlator and in turn enables a reduction in power consumption as taught by Lewis (page # 4, paragraph # 0028).

5. Regarding claim 2, Wang and Lewis teach all the limitations in the previous claim on which claim 2 depends but they fail to disclose frequency adjustment block.

Sawahashi teaches a frequency drift correction portion 25 (figure 2) (claimed 'frequency adjustment block') that performs complex multiplication between the despread signals S3I and S3Q (figure 2, col.6, lines 53-59) (claimed 'first real and first imaginary correlated signal') and the frequency drift correction signals S4I and S4Q and produce frequency corrected signals S5I and S5Q (claimed 'frequency adjustment signals that correspond to the first real and imaginary correlated signals') (figure 2, col.5, lines 18-21, col.6, lines 53-59).

It would have been obvious to one of ordinary skill in the art, at the time of invention, to implement the teachings of Sawahashi into Wang in order to provide the frequency error correction by using the correlation detectors which includes the calculation based on complex conjugate determination as taught by (col.2, lines 60-63, col.5, lines 8-20) and hence provide reliable system.

6. Claims 3 & 12 are rejected under the same rationale as mentioned in the rejections of claims 1 and 2 above.

7. Refer to claim 4, Wang and Sawahashi teach all the limitations in the previous claims on which claim 4 depends but they do not disclose primary and secondary synchronization code correlators.

Lewis discloses, 'primary synchronization code ("PSC") correlators' and 'secondary synchronization code ("SSC") b correlators' (see page # 4, col.1, paragraph # 0024).

It would have been obvious to one ordinary skill in the art to implement the Primary and Secondary SCH channel characteristics or code words of Lewis into Wang and Sawahashi correlation circuits in order to differentiate between primary and secondary SCH channels delay associated with received signal and hence reduce the amount of data shifting through delay structures of the filter or Golay correlator and in turn enables a reduction in power consumption as taught by Lewis (page # 4, paragraph # 0028).

8. Claims 5, 13, and 14 are rejected under the same rational as described in claim 4 rejection of this Office Action.

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9. Regarding claims 6 and 7, Wang discloses two different sets of correlators and each set is differentiated by it's calculation of correlation of the received signal having associated delay spread (col.5, lines 14-26) but Wang and Sawahashi do not use 1<sup>st</sup> and 2<sup>nd</sup> characteristics as Primary SCH and Secondary SCH channels.

Lewis teaches Golay correlators for correlating Primary and Secondary code words (claimed 1<sup>st</sup> and 2<sup>nd</sup> characteristics) based upon their sequences (page # 2, paragraph # 0015, lines 15-35 & page # 4, paragraph # 0024).

It would have been obvious to one ordinary skill in the art to implement the Primary and Secondary SCH channel characteristics or code words of Lewis into Wang and Sawahashi correlation circuits in order to differentiate between primary and secondary SCH channels delay associated with received signal and hence reduce the amount of data shifting through delay structures of the filter or Golay correlator and in turn enables a reduction in power consumption as taught by Lewis (page # 4, paragraph # 0028).

10. Regarding claim 8, Wang and Lewis teach all the limitations in the previous claim on which claim 8 depends but they fail to disclose code division multiple access receiver.

Sawahashi teaches, 'a portion of a code division multiple access receiver' (col.1, lines 7-13).

It would have been obvious to one of ordinary skill in the art, at the time of invention, to implement the teachings of Sawahashi into Wang and Lewis in

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order to provide the frequency error correction by using the correlation detectors in a receiver as taught by (col.2, lines 60-63) and hence provide reliable system.

11. Regarding claim 10, Wang discloses, 'an analog-to-digital converter that receives a CDMA signal and converts the CDMA signal into a digital signal' (see figure 2, element 6), 'a matched filter (see col.1, lines 31-37) that filters the digital signal to produce a filtered digital signal' (see figure 2, element 8, col.3, lines 62-67, col.4, lines 1-2), 'a tapped delay line that receives the digital signal to produces a delayed filtered digital signal' (see col.4, lines 3-17), 'a cell search block, comprising: a first correlator that correlates at least a portion of the delayed filtered digital signal for a real part of a first characteristic of the received signal to produce a first real correlated signal; a second correlator that correlates at least a portion of the delayed filtered digital signal for an imaginary part of the first characteristic of the received signal to produce a first imaginary correlated signal; a third correlator that correlates at least a portion of the delayed filtered digital signal for a real part of a second characteristic of the received signal to produce a second real correlated signal; a fourth correlator that correlates at least a portion of the delayed filtered digital signal for an imaginary part of the second characteristic of the received signal to produce a second imaginary correlated signal; and logic that combines a signal that corresponds to the first real correlated signal, a signal that corresponds to the first imaginary correlated signal, the second real correlated signal and the second imaginary correlated signal to produce a real part of a frequency adjusted signal and an imaginary part of the frequency adjusted signal.' (see claim 1 rejection above).



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12. Claim 11 is rejected under the same rational as described in claim 2 rejection of this Office Action.

13. Claim 15 is rejected under the same rational as mentioned in claims 1 and 10 rejections above of this Office Action.

14. Claims 16 and 17 are rejected under the same rational as mentioned in claims rejections of 6 and 7 above.

15. Regarding claim 18, Wang teaches all the limitations in the previous claims on which claim 18 depends but he fails to disclose determination of the complex conjugate.

Sawahashi discloses, 'determining the complex conjugate of an imaginary portion of the first correlated signal" (col.5, lines 8-21 & col.6, lines 53-59).

It would have been obvious to one of ordinary skill in the art, at the time of invention, to implement the teachings of Sawahashi into Wang in order to provide the frequency error correction by using the correlation detectors which includes the calculation based on complex conjugate determination as taught by (col.2, lines 60-63, col.5, lines 8-20) and hence provide reliable system.

16. Refer to claim 19, Wang and Sawahashi teach all the limitations in the previous claims on which claim 19 depends but they fail to disclose multiplication of the correlates signal by a Primary Synchronization Code.

Lewis discloses, 'multiplying the first correlated signal by a Primary Synchronization Code ("PSC") sequence to produce an intermediate adjusted correlated signal' (see figure 4, element 432, col.2, page # 3, paragraph # 0022) (it is noted that despread is a correlator (page # 3, paragraph # 0020, lines 16-

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19) and element 'conj' (figure 4, output from elements 430) is multiplying by (figure 4, element 432) with PCCPCH code (figure 4, element 418) through (figure 4, element 424) and hence is considered to be equivalent to applicant's claim limitations).

It would have been obvious to one ordinary skill in the art to implement the teachings of Lewis into Wang and Sawahashi in order to compensate for the channel estimation by multiplying the conjugated signal to another received signal as taught by Lewis (see page # 3, paragraph # 0022, lines 1-6).

17. Refer to claim 20, Wang teaches all the limitations in the previous claim on which claim 20 depends but he fails to disclose determining the complex conjugate.

Sawahashi discloses, 'determining the complex conjugate of an imaginary portion of the first correlated signal to form an imaginary portion of the frequency adjustment factor' (col.5, lines 8-21 & col.6, lines 53-59).

It would have been obvious to one of ordinary skill in the art, at the time of invention, to implement the teachings of Sawahashi into Wang in order to provide the frequency error correction by using the correlation detectors which includes the calculation based on complex conjugate determination as taught by (col.2, lines 60-63, col.5, lines 8-20) and hence provide reliable system.

18. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Wang et al. (US 6,266,365) in views of Sawahashi et al. (5,774,494) and Lewis (US 2003/0231705), as applied to claim 1 above, and further in view of Popovic' (US 6,567,482).

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19. Referring to claim 9, Wang, Sawahashi and Lewis teach all the limitations in the previous claims on which claim 9 depends but they fail to disclose UMTS.

Popovic' discloses, 'a portion of a receiver that complies with the Universal Mobile Telecommunications System ("UMTS") Wideband Code Division Multiple Access ("WCDMA") standard' (see figure 1, element 24, col.2, lines 8-25, col.8, lines 48-63).

It would have been obvious to one ordinary skill in the art to implement the teachings of the Popovic' into Wang, Sawahashi and Lewis in order to have the receiver compatible with the UMTS standard and hence ensure high quality by providing wide bandwidth for multimedia services and other high rate demands as well as robust features as taught by Popovic' (see col.8, lines 51-63).

### ***Conclusion***

21. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP §706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will

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the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

***Contact Information***

22. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Naheed Ejaz whose telephone number is 571-272-5947. The examiner can normally be reached on Monday - Friday 8:00 - 4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Chieh Fan can be reached on 571-272-3042. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Naheed Ejaz  
Examiner

  
PANKAJ KUMAR  
PRIMARY PATENT EXAMINER

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9/29/2006